

Implementing New Race/Ethnicity Standard in IPEDS

*ACIR
July 23, 2008*

Race/ethnicity

- ◉ Final guidance on Maintaining, Collecting, and Reporting Racial and Ethnic Data to the U.S. Department of Education
- ◉ Published in Federal Register 10/19/07
- ◉ For implementing 1997 OMB standards
- ◉ <http://edocket.access.gpo.gov/2007/pdf/E7-20613.pdf>

Guidance

- ⦿ Notice posted on website in Newsroom
 - http://nces.ed.gov/IPEDS/news_room/
- ⦿ Additional guidance from Dept of ED in 4-6 wks
 - Samples of 2-format question
 - Nonresponses
 - Record retention

Final guidance

- ⦿ Implementation by Fall 2010, to report data for the 2010-11 academic year
- ⦿ Encourages institutions already collecting R/E in new format to begin reporting immediately
 - For IPEDS, immediately means 2008-09 collection

Final Guidance

- Covers 2 separate issues:
 - **Collection** of R/E data by institutions
 - **Reporting** of aggregate data to US Dept of ED

Collection by institutions

- Two-question format *REQUIRED*:
 1. Is respondent Hispanic/Latino? Y/N
 2. Respondent selects one or more races:
 - American Indian or Alaska Native
 - Asian
 - Black or African American
 - Native Hawaiian or Other Pacific Islander
 - White

Two-question format

- One example is available at http://nces.ed.gov/statprog/2002/std1_5.asp
- Ethnicity question must come first
- Race selection question must read “one or more” instead of “all that apply”

Self-identification...

- Standards call for self-identification of race/ethnicity
- Dept of ED encourages institutions to allow current students and staff to re-identify their race and ethnicity

Refusals

- For postsecondary institutions, presenting the data collection form to students and staff is sufficient to ensure that individuals have had an opportunity to respond
- For elementary and secondary institutions, the criteria for this are more stringent, since they are not allowed to report unknown R/E

Detail data

- You will be collecting a lot of detailed data that you will not report to IPEDS, but the final guidance is clear that you need to collect the race and ethnicity data using the 2-part question, and you need to retain that detail in your data systems

Reporting aggregate data

- Nonresident aliens
- Race/ethnicity unknown
- Hispanics of *any* race

For non-Hispanics only:

- American Indian or Alaska Native
- Asian
- Black or African American
- Native Hawaiian or Other Pacific Islander
- White
- 2 or more races

Comparison: Collection & Reporting

Collection categories (n=6)

- Hispanic
- American Indian or Alaska Native
- Asian
- Black or African American
- Native Hawaiian or Other Pacific Islander
- White

Reporting categories (n=9)

- Hispanic
- American Indian or Alaska Native
- Asian
- Black or African American
- Native Hawaiian or Other Pacific Islander
- White
- Two or more races
- Nonresident alien
- Race/ethnicity unknown

Also...

- A person who answers Yes to Hispanic should also answer the race question, even though that person will be reported to IPEDS as Hispanic
- If a respondent does not answer the Hispanic question but does answer the race question, report that person using the race categories that were selected (which by default assumes a No response to the Hispanic question)

Proposed implementation

- 2008-09 and 2009-10
 - Optional years
- 2010-11
 - Mandatory year for EF, HR
 - 3rd optional year for C, E12, GRS
- 2011-12
 - Mandatory for all components

5+7+2 format

- Will be used in data collection system in optional years
 - 5 = old categories
 - 7 = new categories
 - 2 = Nonresident alien, R/E unknown
- Institutions have option to report
 - All individuals using old categories
 - All individuals using new categories
 - Mixed reporting
 - Anticipate that few institutions will choose this method = most complex

Screening Question

- Which method of reporting race and ethnicity will you use for this component?

New race/ethnicity categories
(9 categories):

- Nonresident alien
- Hispanic/Latino
- American Indian or Alaska Native
- Asian
- Black or African American
- Native Hawaiian or Other Pacific Islander
- White
- Two or more races
- Race/ethnicity Unknown

- Notes:
 - Report Hispanic/Latino individuals of any race as Hispanic/Latino
 - Report race for non-Hispanic/Latino individuals only
 - American Indian or Alaska Native, Asian, Black or African American, Native Hawaiian or Other Pacific Islander, White, Two or more races

Old race/ethnicity categories
(7 categories):

- Nonresident alien
- Black, non-Hispanic
- American Indian/Alaska Native
- Asian/Pacific Islander
- Hispanic
- White, non-Hispanic
- Race/ethnicity Unknown

Mixture of new and old race/ethnicity categories (all 14 categories will show on each screen):

NEW:

- Hispanic/Latino
- American Indian or Alaska Native
- Asian
- Black or African American
- Native Hawaiian or Other Pacific Islander
- White
- Two or more races

- Notes:
 - Report Hispanic/Latino individuals of any race as Hispanic/Latino.
 - Report race for non-Hispanic/Latino individuals only.
 - American Indian or Alaska Native, Asian, Black or African American, Native Hawaiian or Other Pacific Islander, White, Two or more races

OLD:

- Black, non-Hispanic
- American Indian/Alaska Native
- Asian/Pacific Islander
- Hispanic
- White, non-Hispanic

Categories used in BOTH New and Old:

- Nonresident alien
- Race/ethnicity Unknown

Proposed timeline

	HR, EF	C, E12, GRS
2008-09, 2009-10	Old (5+2) New (7+2) Mixed (5+7+2)	Old (5+2) New (7+2) Mixed (5+7+2)
2010-11	New (7+2)	Old (5+2) New (7+2) Mixed (5+7+2)
2011-12	New (7+2)	New (7+2)

Data Release

- ◉ College Navigator
 - Show only selected R/E categories for comparability
- ◉ PAS
 - Navigator categories
 - Raw data + flag

Data Release

- ◉ DFR and ExPT
 - New categories when available
 - Navigator categories during mixed years
- ◉ DAS and First Look pubs
 - Navigator categories

Questions for institutions

- ◉ Categories needed for collection
- ◉ Aggregation for reporting to IPEDS
- ◉ Data storage, file maintenance, updating
- ◉ Re-surveying
- ◉ Mapping or bridging

Resources

- ◉ AIR Website
- ◉ R/E Webinar
- ◉ SHEEO website
- ◉ NCES website
 - Documents posted final guidance, R/E changes, additional guidance