

## **Implementing New Race/Ethnicity Standard in IPEDS**

*ACIR  
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National Center for Education Statistics

### **Race/ethnicity**

- Final guidance on Maintaining, Collecting, and Reporting Racial and Ethnic Data to the U.S. Department of Education
- Published in Federal Register 10/19/07
- For implementing 1997 OMB standards
- <http://edocket.access.gpo.gov/2007/pdf/E7-20613.pdf>

## Guidance

- Notice posted on website in Newsroom
  - [http://nces.ed.gov/IPEDS/news\\_room/](http://nces.ed.gov/IPEDS/news_room/)
- Additional guidance from Dept of ED in 4-6 wks
  - Samples of 2-format question
  - Nonresponses
  - Record retention

## Final guidance

- Implementation by Fall 2010, to report data for the 2010-11 academic year
- Encourages institutions already collecting R/E in new format to begin reporting immediately
  - For IPEDS, immediately means 2008-09 collection

## Final Guidance

- Covers 2 separate issues:
  - **Collection** of R/E data by institutions
  - **Reporting** of aggregate data to US Dept of ED

## Collection by institutions

- ① Two-question format **REQUIRED**:
  1. Is respondent Hispanic/Latino? Y/N
  2. Respondent selects one or more races:
    - American Indian or Alaska Native
    - Asian
    - Black or African American
    - Native Hawaiian or Other Pacific Islander
    - White

## Two-question format

- One example is available at [http://nces.ed.gov/statprog/2002/std1\\_5.asp](http://nces.ed.gov/statprog/2002/std1_5.asp)
- Ethnicity question must come first
- Race selection question must read “one or more” instead of “all that apply”

## Self-identification...

- Standards call for self-identification of race/ethnicity
- Dept of ED encourages institutions to allow current students and staff to re-identify their race and ethnicity

## Refusals

- For postsecondary institutions, presenting the data collection form to students and staff is sufficient to ensure that individuals have had an opportunity to respond
- For elementary and secondary institutions, the criteria for this are more stringent, since they are not allowed to report unknown R/E

## Detail data

- You will be collecting a lot of detailed data that you will not report to IPEDS, but the final guidance is clear that you need to collect the race and ethnicity data using the 2-part question, and you need to retain that detail in your data systems

## Reporting aggregate data

- Nonresident aliens
- Race/ethnicity unknown
- Hispanics of *any* race

For non-Hispanics only:

- American Indian or Alaska Native
- Asian
- Black or African American
- Native Hawaiian or Other Pacific Islander
- White
- 2 or more races

## Comparison: Collection & Reporting

### Collection categories (n=6)

- Hispanic
- American Indian or Alaska Native
- Asian
- Black or African American
- Native Hawaiian or Other Pacific Islander
- White

### Reporting categories (n=9)

- Hispanic
- American Indian or Alaska Native
- Asian
- Black or African American
- Native Hawaiian or Other Pacific Islander
- White
- Two or more races
- Nonresident alien
- Race/ethnicity unknown

## Also...

- ⦿ A person who answers Yes to Hispanic should also answer the race question, even though that person will be reported to IPEDS as Hispanic
- ⦿ If a respondent does not answer the Hispanic question but does answer the race question, report that person using the race categories that were selected (which by default assumes a No response to the Hispanic question)

## Proposed implementation

- 2008-09 and 2009-10
  - Optional years
- 2010-11
  - Mandatory year for EF, HR
  - 3<sup>rd</sup> optional year for C, E12, GRS
- 2011-12
  - Mandatory for all components

## 5+7+2 format

- Will be used in data collection system in optional years
  - 5 = old categories
  - 7 = new categories
  - 2 = Nonresident alien, R/E unknown
- Institutions have option to report
  - All individuals using old categories
  - All individuals using new categories
  - Mixed reporting
    - Anticipate that few institutions will choose this method = most complex

## Screening Question

- Which method of reporting race and ethnicity will you use for this component?

New race/ethnicity categories  
(9 categories):

- Nonresident alien
- Hispanic/Latino
- American Indian or Alaska Native
- Asian
- Black or African American
- Native Hawaiian or Other Pacific Islander
- White
- Two or more races
- Race/ethnicity Unknown
  
- Notes:
  - Report Hispanic/Latino individuals of any race as Hispanic/Latino
  - Report race for non-Hispanic/Latino individuals only
    - American Indian or Alaska Native, Asian, Black or African American, Native Hawaiian or Other Pacific Islander, White, Two or more races

Old race/ethnicity categories  
(7 categories):

- Nonresident alien
- Black, non-Hispanic
- American Indian/Alaska Native
- Asian/Pacific Islander
- Hispanic
- White, non-Hispanic
- Race/ethnicity Unknown

Mixture of new and old race/ethnicity categories (all 14 categories will show on each screen):

**NEW:**

- ⊙ Hispanic/Latino
- ⊙ American Indian or Alaska Native
- ⊙ Asian
- ⊙ Black or African American
- ⊙ Native Hawaiian or Other Pacific Islander
- ⊙ White
- ⊙ Two or more races

⊙ **Notes:**

- Report Hispanic/Latino individuals of any race as Hispanic/Latino.
- Report race for non-Hispanic/Latino individuals only.
  - American Indian or Alaska Native, Asian, Black or African American, Native Hawaiian or Other Pacific Islander, White, Two or more races

**OLD:**

- ⊙ Black, non-Hispanic
- ⊙ American Indian/Alaska Native
- ⊙ Asian/Pacific Islander
- ⊙ Hispanic
- ⊙ White, non-Hispanic

**Categories used in BOTH New and Old:**

- ⊙ Nonresident alien
- ⊙ Race/ethnicity Unknown

## Proposed timeline

	HR, EF	C, E12, GRS
2008-09, 2009-10	Old (5+2) New (7+2) Mixed (5+7+2)	Old (5+2) New (7+2) Mixed (5+7+2)
2010-11	New (7+2)	Old (5+2) New (7+2) Mixed (5+7+2)
2011-12	New (7+2)	New (7+2)

## Data Release

- College Navigator
  - Show only selected R/E categories for comparability
- PAS
  - Navigator categories
  - Raw data + flag

## Data Release

- DFR and ExPT
  - New categories when available
  - Navigator categories during mixed years
- DAS and First Look pubs
  - Navigator categories

## Questions for institutions

- Categories needed for collection
- Aggregation for reporting to IPEDS
- Data storage, file maintenance, updating
- Re-surveying
- Mapping or bridging

## Resources

- AIR Website
- R/E Webinar
- SHEEO website
- NCES website
  - Documents posted final guidance, R/E changes, additional guidance